## Article

## **Current Biology**

# **Confidence Is the Bridge between Multi-stage Decisions**

## **Graphical Abstract**



## **Highlights**

- Many tasks require a series of correct decisions to reach a goal
- Confidence in a decision affects the termination criterion for the next decision
- Use of confidence to change the speed-accuracy trade-off can increase reward

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## In Brief

van den Berg et al. show that when making a sequence of decisions to achieve a goal, the subjective confidence in the accuracy of the first decision precisely and rapidly alters the decisionmaking process of the second decision.



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## **Confidence Is the Bridge between Multi-stage Decisions**

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#### SUMMARY

Demanding tasks often require a series of decisions to reach a goal. Recent progress in perceptual decision-making has served to unite decision accuracy, speed, and confidence in a common framework of bounded evidence accumulation, furnishing a platform for the study of such multi-stage decisions. In many instances, the strategy applied to each decision, such as the speed-accuracy trade-off, ought to depend on the accuracy of the previous decisions. However, as the accuracy of each decision is often unknown to the decision maker, we hypothesized that subjects may carry forward a level of confidence in previous decisions to affect subsequent decisions. Subjects made two perceptual decisions sequentially and were rewarded only if they made both correctly. The speed and accuracy of individual decisions were explained by noisy evidence accumulation to a terminating bound. We found that subjects adjusted their speed-accuracy setting by elevating the termination bound on the second decision in proportion to their confidence in the first. The findings reveal a novel role for confidence and a degree of flexibility, hitherto unknown, in the brain's ability to rapidly and precisely modify the mechanisms that control the termination of a decision.

#### INTRODUCTION

Difficult decisions arise through a process of deliberation involving the accumulation of evidence acquired over time. They thus invite a trade-off between speed and accuracy, instantiated as a rule for terminating the decision and committing to a choice [1, 2]. The speed-accuracy trade-off established through this rule is influenced by the cost of time weighed against the reward for an accurate decision and the penalty for an error [3–5]. In many instances, the regime is established through instruction, expertise, or some broad optimization goal, such as maximizing reward over time. In less certain environments, however, decision policy may benefit from adjustment on a shorter timescale [6–8]. For example, when a decision maker must complete two (or more) choices to achieve a goal, the policy applied on the second choice might be adjusted based on the prediction about the success of the first decision. These types of multi-stage decisions arise in foraging, exploration, and structured reasoning (e.g., [9, 10]).

Recent studies of single-stage perceptual decisions have served to unite decision accuracy, speed, and confidence in a common framework of bounded evidence accumulation [11–14]. The quantitative features of this model system provide a framework for studying multi-stage decisions. In a well-studied motion discrimination task, the decision itself (e.g., up or down) is governed by the accumulation of noisy samples of evidence from the visual stimulus and transduced by sensory neurons [15, 16]. The accumulation is represented by neurons in the association cortex such that their firing rate is proportional to the accumulated evidence for one choice versus the other. This representation, termed a decision variable, is compared to a threshold (i.e., bound), which terminates the decision process, thereby establishing both the choice and decision time. The latter corresponds to the measured reaction time, but there are processing delays that separate these events by enough time to allow for a dissociation between the state of accumulated evidence used to terminate the decision and the evidence used to support subsequent behaviors, including a change of mind [17-19].

Confidence is informed by an implicit mapping between the state of the neural representation of accumulated evidence used to make the decision and the likelihood that it would support a correct choice [11, 20]. Since confidence can also undergo revision after commitment [13, 21, 22], it is possible for a subject to make a decision and believe that she made an error. Confidence thus conforms to an internal prediction about the success or failure of one's decisions. Often when a sequence of multiple decisions are required to achieve a single goal, the success of each decision is not known until the goal is reached, if ever. Therefore, as accuracy is not known, confidence is likely to play an important role in situations that require a sequence of decisions to reach a goal.

Here we test the hypothesis that confidence is carried forward from a decision to control the speed-accuracy trade-off of a second decision. Subjects made a multi-stage decision that

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Trial Type	Fixation (0.3-1s)		Decision		Fixation		Decision		Confidence	
Double Decision	• •	•	•	•	•		D <sub>2nd</sub>	•	■ 38 no clue confide	absolutely sure ence?
Single First Decision			■ D <sub>1*</sub>						38 no clue ■ confid	absolutely sure ence?
Sinala							D <sub>2*</sub>		■ <u>38</u>	
Second	••		⊶•		•		( <b>b</b> )	•	clue confid	sure ence?
Decision										
Single									38	
Decision Catch	• •	•	•	•					no clue ■ confide	absolutely sure ence?
				h 🗆						
							<b>_</b>			
					Time					

#### Figure 1. Experimental Paradigm

All trials started with central fixation (red circles show eve position). On double-decision trials, subjects judged the perceived direction of motion (left versus right) of a central randomdot display and, whenever ready, made an eye movement to one of two corresponding choice targets. After fixation, a second randomdot display appeared, and subjects judged the perceived direction of motion (up versus down) and made an eye movement to the corresponding final-choice target. After indicating their confidence that both decisions were correct. they received feedback on whether the selected final-choice target was correct. On single first decision trials, only the left-right choice targets were displayed and a single first decision was required, followed by the confidence judgement. On single second decision trials, only one lateral choice target and the corresponding finalchoice targets were displayed. Subjects made an eye movement to the lateral choice target, mimicking a first decision. Then the motion display appeared, leading to D2\*. On single-decision catch trials, the setup was the same as on doubledecision trials, but all targets disappeared after

the first decision and subjects then made a confidence judgement and the trial was terminated. Note that for visualization purposes, stimuli in this figure are not to scale and the confidence bar is not displayed at its true location (see Experimental Procedures).

involved two perceptual decisions separated briefly in time, and success required both decisions to be correct. We measured choice and reaction time of both, and we extracted an estimate of confidence in the first decision. Subjects elevated their termination criterion on the second decision in proportion to their confidence in the first decision. Therefore, when they were more confident in their first decision, they took more time and were more accurate on their second decision, choosing a more conservative termination criterion when building on a successful foundation. We show that this strategy is rational if the time to make a decision is costly. Our results therefore point to a more general capacity to adjust decision-making on a fast timescale, based on the confidence one has in a previous decision.

#### RESULTS

Three naive subjects were asked to decide about the net direction of motion in a dynamic random-dot display (Figure 1). Both the direction (e.g., left or right) and the strength of motion were random from trial to trial, and the subjects indicated their decision by making an eye movement to one or the other choice target, whenever ready, thereby providing a measure of reaction time. The random dot display was extinguished once the eye movement was initiated. On most trials (Figure 1 top row), the first decision (D<sub>1st</sub>) led to the display of a new random dot display, centered at the location of the first chosen target. The subject was then required to make a second decision  $(D_{2nd})$ about the direction of motion (up or down), again indicated by an eye movement, when ready. The direction and motion strength of  $D_{1st}$  and  $D_{2nd}$  were both random and independently chosen. Feedback was provided only after both decisions were made. If either choice was an error, the entire sequence was designated as such. In other words, both decisions were

required to be correct for success on the trial (see Experimental Procedures). These double-decision trials ( $D_{1st}$  then  $D_{2nd}$ ) constituted 79% of the trials. The others comprised a variety of single decisions (Figure 1), most of which were explicitly cued as such. Subjects thus knew that success on these trials rested on just one correct decision. Subjects performed a fixed number of trials each session.

All three subjects made faster and more accurate decisions when they viewed stronger motion. Figure 2 illustrates these trends in the data for the first decision. The data are well captured by a bounded drift-diffusion model (smooth curves), as previously shown [15, 23, 24]. On trials in which we did not present a second stimulus, we obtained a confidence rating after the subject indicated their choice (Figure 2, bottom row) but before receiving feedback. Not surprisingly, decisions were associated with greater confidence if they were correct, with the highest confidence associated with the strongest motion. Note that the confidence rating was obtained after the choice (cf. [12]) and therefore likely benefited from information in the display that did not arrive in time to affect the choice [13]. We hypothesized that confidence about the first decision could bear on the strategy used to make a second decision. We next consider the changes in strategy that arise when making two decisions in sequence, beginning with the first decision.

#### **First of Two Decisions**

Faced with a decision, it is possible that a decision maker might apply a different strategy if she knows ahead of time that this is the first of a sequence or the sole decision that will affect outcome. To evaluate this possibility, we examine choice accuracy and reaction times (RTs) under conditions in which the subject was explicitly instructed that they would make only a single first decision (Figure 2, blue curves) and compared these

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Figure 2. Accuracy, Reaction Time, and Confidence for First Decisions

The top and middle rows show the proportion of correct decisions and reaction times as a function of motion strength on single first decisions (blue:  $D_1^*$  trials) and on first decisions on trials in which subjects made (or thought they would make) two decisions (red:  $D_{1st}$  and  $D_{1st-catch}$  trials). Solid lines are fits of a drift-diffusion model to each dataset. The bottom row shows the confidence ratings on correct (filled) and error (open) trials for both single first decision (blue:  $D_1^*$ ) and single-decision catch trials (red:  $D_{1st-catch}$ ). Note that 0% trials have been designated as correct for plotting. Columns S1–S3 correspond to individual subjects. Error bars show SEM. See also Figure S1 and Table S1.

to performance when the subject believed that the decision was the first of two (Figure 2, red curves). We observed only subtle differences in decision accuracy, which were not statistically reliable (p > 0.36). Two subjects exhibited shorter RTs on the single-decision trials (reduction of S2: 90 ms; p < 0.001; reduction of S3: 60 ms; p = 0.025 ANOVA). The drift-diffusion model attributes this to a small change in  $\kappa$  and non-decision time (Table S1). Note that this difference is not explained by a change in the termination criteria, that is, the bound height, which would lead to larger differences in the RTs at the lower coherences—a pattern that will be apparent in the next section.

From this analysis, we are unable to draw strong conclusions about a change in decision policy induced by the need to make two decisions in sequence. The data do not rule out this potential strategy, but it was not exercised to great effect in this experiment. The observation is mainly interesting when contrasted with the subjects' adjustments to their decision criteria in the second of two decisions. It will also prove convenient when we exploit confidence ratings from the single first decisions later on.

#### **Second of Two Decisions**

Both the accuracy and RT of the second decision depended on the experience of the first decision (Figure 3). For example, if the first decision resulted in an error, subjects were faster and less accurate on their second decision (Figure 3A, red traces) than they were if the first decision was correct (blue traces). The breakdown of the second decision by whether the first was correct or an error implies that aspects of the first decision may affect the second decision. However, as subjects did not receive feedback until completion of the two decisions, they could not know if they were correct or not when they entered the second decision. We hypothesized that they carried forward their confidence after the first decision—an internal prediction or belief that they were correct [6, 11, 25]—to adjust criteria applied to make the second decision.

Before evaluating this hypothesis in detail, it is important to consider plausible alternatives. Specifically, slow fluctuations in attention or any other factors that affect the speed-accuracy trade-off on both the first and second decisions could produce an association between the accuracy of the first decision and



#### Figure 3. Accuracy and Reaction Time for Second Decisions

(A) Data plotted against motion strength on  $D_{2nd}$ . Trials are split by whether the first decision was correct (blue) or an error (red). The black data points are for single second decisions ( $D_2^*$ ). Columns S1–S3 correspond to individual subjects, and solid lines are fits of a drift-diffusion model to each dataset. (B) Reaction time plotted as a function of the motion strength of the first decision ( $D_{1st}$ ) for all trials (top) and split (bottom) by whether the  $D_{1st}$  decision was correct (blue) or an error (red). The final black data points are for trials with a single second decision ( $D_2^*$ ). Error bars show SEM. See also Figures S2, S3, and S5.

performance on the second. We know that such fluctuations exist in our data (Figures S1 and S2). Therefore, by selecting error trials, we might have also selected trials in which the second decision tended to be faster and less accurate due to a common cause (fluctuations in the decision-making process across the two decisions). However, co-fluctuations cannot explain three additional observations. First, the difficulty (motion strength) of D<sub>1st</sub> affected both the accuracy (p < 0.01 for all subjects) and reaction times on D<sub>2nd</sub> (Figure 3B top; p < 0.0001 for all subjects). The difficulty is independent of any such co-fluctuations because the motion strength of D<sub>1st</sub> and D<sub>2nd</sub> were uncorrelated.

Second, subjects performed single decisions similarly to the second of two decisions preceded by the easiest motion strength. We examined a set of trials in which subjects made just one decision using the identical task geometry as the second of a sequence of decisions (labeled  $D_2^*$  in Figure 1). As these decisions are not selected based on the performance on a previous

decision, the effect of fluctuations should produce RTs represented by a mixture of the D<sub>2nd</sub> RTs accompanying errors and correct D<sub>1st</sub> choices. The black traces in Figure 3A should therefore lie between the red and blue traces, but this was not the case. In fact, for all subjects, RTs were longest on these single decisions (p < 0.001 for all subjects). The force of this observation rests on the assumption that the processes underlying D2\* and D<sub>2nd</sub> decisions are similar, as they appear to be. Separate fits of the drift-diffusion model to D2\* and D2nd trials show no significant difference in the signal-to-noise and non-decision time parameters (p > 0.3 for all subjects and parameters). In fact, the RTs on D<sub>2</sub>\* resemble the RTs on D<sub>2nd</sub> when the latter were preceded by the strongest motion on D<sub>1st</sub> (Figure 3B bottom; p > 0.41 all subjects). Intuitively, this is because a D<sub>2</sub>\* decision, in which the subject only needed to get this decision correct for a reward, is similar to a D<sub>2nd</sub> decision where the subject would be certain that the first decision was correct (e.g., highest coherence).



Third, these sequential effects were only present when the two decisions were part of a single multi-stage decision, rather than just temporally adjacent. We performed the same analysis as in Figure 3B (top) but examined sequential decisions occurring across trials (Figure S3). This showed that the RT on the first decision of one trial is not significantly affected by the coherence of the decision that preceded it, that is, the last decision of the previous trial (p > 0.19 for all subjects). This analysis provides reassurance that the effect we report depends on the grouping of the two decisions as part of the same two-stage decision process leading to a reward only if both decisions are correct. From theses analyses (Figures 3B and S3), we conclude that the observed changes in the second of two decisions are not explained by factors common to both decisions or by sequential effects unrelated to performing the multi-stage decision task. Instead, it is an aspect of the experience of the first decision that affects the way the subjects approach the second. We next evaluate our hypothesis that the critical aspect of the first decision is the prediction that the first decision was correct.

Figure 4 shows the confidence ratings obtained after "single first decisions" (see Figure 1). The confidence rating is an arbitrary scaling, but it is significantly influenced by motion strength (p < 0.0001, all subjects), RT (p < 0.0001), and accuracy (p < 0.005), as previously shown [13, 20]. We did not ask the subjects to report their confidence on D<sub>1st</sub> before making D<sub>2nd</sub> because we did not want to interfere with the sequential decision. Instead, we estimated their confidence on D<sub>1st</sub> trials by interpolation, using the coherence, RT, accuracy, and confidence data from the single first decisions (D1\* and D1st-catch; see Experimental Procedures). We have three reasons to believe that this method provided accurate confidence estimates for D<sub>1st</sub> decisions. First, subjects performed similarly on D1\* and D1st trials. Second, the confidence ratings obtained on D1\* and D1st-catch trials (Figure 3B) were either indistinguishable (p > 0.86 for S1 and S2) or minimally different (p = 0.044 for S3; average difference in rating of 0.03;  $\sim$ 5% of the range; see Figure 4). Finally, using leave-one-out cross-validation, our interpolation method accounts for 0.68, 0.56, and 0.46 of the variance in each subject's confidence ratings on  $D_1^*$  and  $D_{1st-catch}$  trials.

We then asked how the confidence affects the parameters of a diffusion model fit to the choice-RT data from the second decision. We compared six models summarized in Table S2. The

#### Figure 4. Confidence Ratings for Single First Decisions Vary with Motion Strength and Reaction Time

 $D_1^*$  and  $D_{1st-catch}$  trials are depicted. Line colors indicate motion coherence; line thickness indicates correct (thick) versus incorrect (thin) trials. All 0% coherence trials are designated as correct for plotting. Data are plotted as a running average (over 70 points for correct trials and 25 points for the fewer error trials).

best-fitting model (model 3) allows the termination bound, B, to scale with confidence, after controlling for variation in this parameter across days of data collection. This model was superior to alternatives

that allowed drift rate and bound to vary by different combinations of session and/or confidence. Model comparison showed that allowing the bound height on  $D_{2nd}$  to vary linearly with confidence on  $D_{1st}$  had overall very strong support (decrease in Bayesian information criterion [BIC] of 140.9, 46.9, and 4.8 relative to the next best model; designations very strong for S1 and S2 and positive for S3 [26, 27]).

Coherence is a strong determinant of confidence, although the latter is also influenced by choice accuracy and time (Figure 4). We performed a model comparison with the same six models replacing confidence by coherence. The results again favor a bound change (model 3, decrease in BIC of 95.6, 41.3, and 6.0 relative to the next best model). Moreover, confidence was preferred over coherence for this model for two of the three subjects ( $\Delta$ BIC in favor of confidence of 54.1, 8.7, -4.9). The weak support for coherence (S3) is probably explained by our own limited ability to predict confidence for this subject (see the cross-validation exercise above). Combining BICs across subjects lends strong support for confidence ( $\Delta$ BIC 58.0; see Experimental Procedures).

Figure 5 depicts the model's change in bound height as a function of the estimated confidence. The dashed line shows the best-fit solution, which is based on the individual trials. To examine our model assumption that bound height varied linearly with confidence, we also fit a model in which we grouped the trials into seven quantiles based on the confidence estimates of D<sub>1st</sub> (approximately 495 trials per quantile) and allowed the bound height to vary for each quantile when fitting the drift-diffusion model to D<sub>2nd</sub>. The error bars are standard errors of the estimate of the percentage change in B relative to the average bound across all trials for the lowest-confidence quantile. The dashed line is not a fit to these points-the points and their standard errors simply support our choice of a linear effect of estimated confidence on the change in B. The fits to accuracy and mean RT for four of the quantiles (odd ones) are displayed in Figure 6. They are reasonably good (R<sup>2</sup> range is 0.87 to 0.99 for RT across subjects and all quantiles). From these analyses, we conclude that subjects adjust their criterion on a second decision by slowing down if they are confident on the first decision, and they do so by adjusting the criterion for terminating a decision. This conclusion is further supported by an analysis of the 0% coherence  $D_{2nd}$  and  $D_2^*$  trials, in which only the bound height

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Figure 5. Estimates of the Change in the Bound on the Second Decision as a Function of the Estimated Confidence about the First Decision The dashed lines depict the best model (model 3). The circles are obtained from a model fit separately to seven quantiles (collapsing over sessions), based on the estimated confidence from the  $D_{1st}$  decision. The changes in bound are relative to the lowest-quantile data point (hence no error bar). The dashed line is displaced to match the mean of the quantile fits, as its offset but not slope is arbitrary. See also Figure S4 and Table S2. Error bars indicate SE.

(and non-decision time) determines reaction time. We estimated the bound heights for these second decisions for four quartiles based on  $D_{1st}$  confidence and found they were very similar to those from our best-fitting model (Figure S4).

The finding that confidence on one decision affects the bound on the next might explain why subjects adopted conservative bounds on their first decisions (i.e., slow speed, high accuracy; compare Figure 2 and Figure 3) compared with their second. This seems like a sensible strategy because first decisions begin with no sense of futility. They are like second decisions made with the highest confidence on D<sub>1st</sub>, or like the D<sub>2</sub>\* control. To examine this further empirically, we examined the mean RTs on 0% coherence motion trials; on these trials, decision time is primarily determined by bound height [28]. As expected, for all subjects, the mean RT from D<sub>1st</sub> decisions was similar to the mean RT on D<sub>2</sub>\* decisions (Figure S5; p > 0.11 for each subject), which naturally start with highest confidence.

The previous results indicate that subjects adjusted the criteria for terminating a second decision based on confidence in the first decision. The scheme in Figure 7A conveys an intuition for why this may be a sensible strategy. Allocating more time to  $D_{2nd}$  (i.e., by changing the termination criteria) increases the reward expected from solving the task correctly (dashed lines). When the confidence in the first decision is low (green), the expected reward plateaus at a lower value than when confidence is high (black), because the expected reward is contingent on having responded correctly to the first decision. The optimal policy (solid line) must balance the marginal benefit expected by deferring the decision against the cost of time and effort (dotted line). Because the marginal benefits are lower following low-confidence first decisions, the optimal decision time for  $D_{2nd}$  is shorter if the confidence in  $D_{1st}$  is low. This explanation is simple

and intuitive, but it is only an approximation of the optimal policy, assuming a cost of time in our task.

We used dynamic programming (see Supplemental Experimental Procedures) to determine whether and how a rational decision maker would adjust the bounds for the second decision of a double-decision task if there is a cost associated with the passage of time. There are many ways in which time can be penalized in such tasks. For simplicity, we chose to examine the normative solution that maximizes reward rate. As has been shown previously for tasks with a single decision, maximizing reward rate [4] or an arbitrary utility function [29] requires adjusting the height of the bound with elapsed time. These previous studies have only considered single decisions, so we extend their framework to our multi-stage decision in which we examine how the bounds should be set given the confidence in  $D_{1st}$ .

The normative model prescribes that higher confidence in the D<sub>1st</sub> decision should lead to higher bounds for the D<sub>2nd</sub> decision (Figure 7B). The most notable effect of the confidence in D<sub>1st</sub> is a change in the offset of the bounds for D<sub>2nd</sub>, without a strong influence on the shape of the bounds. The psychometric and chronometric functions shown in Figure 7C were derived from simulations of diffusion processes using the dynamic programming solution (Figure 7B). Note the resemblance to the corresponding curves in Figure 3A. Specifically, accuracy and RTs were lowest following incorrect first decisions, intermediate following correct first decisions, and highest when the first decision was bypassed. The range of these effects is comparable to what was observed in the behavioral data. To examine the generality of this solution, we also derived the optimal bounds for a range of time costs (equivalent to changing the slope of the costof-time lines in Figure 7A) and found qualitatively similar patterns

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**Figure 6. Variation in the Bound Based on D**<sub>1st</sub> **Confidence Explains the Accuracy and Reaction Time of D**<sub>2nd</sub> **Choices** As in Figure 5, data were split into seven quantiles based on the estimated confidence in the first decision. The columns show the 1<sup>st</sup>, 3<sup>rd</sup>, 5<sup>th</sup>, and 7<sup>th</sup> quantiles (lowest to highest). Fits (solid lines) incorporate the change in bound for corresponding quantiles from Figure 5. The red lines are the fits to the lowest quantiles of confidence repeated on the other plots for comparison. Error bars indicate SEM. See also Table S2.

of bound changes (data not shown). Therefore, all that is needed for the pattern of bound changes we observe to be rational is a cost of time and a benefit of points. The agreement is only intended as qualitative because the analysis in Figure 7 ignores many complexities in the actual task. Our results do indicate, however, that the strategy exercised by our three subjects—adjusting the bound height for  $D_{2nd}$  based on the confidence about  $D_{1st}$ —is indeed rational.

#### DISCUSSION

We have shown that human decision makers are capable of adjusting their speed-accuracy trade-off on the fly based on the recent experience of a decision in a multi-stage decision task. The task is representative of a class of multi-stage decisions in which the outcome depends on all steps along the way. More elaborate cases arise in problem solving (e.g., reasoning step by step) and navigating an uncertain environment. The task we studied is obviously a simple example and is thus capable of bearing on only a fraction of what these more complex endeavors entail. Its main advantages are the consilience with neurobiology and conformance with sequential sampling models, based on biased random walks [1, 30] and drift diffusion [2, 15, 16].

The RTs and choice accuracy in the present dataset were well described by a parsimonious version of bounded evidence accumulation, which we leveraged to gain insight into the mechanism through which subjects used the experience from the first



#### Figure 7. Normative Model for D<sub>2nd</sub>

(A) Schematic illustration of the impetus to change the strategy on a second decision, based on the confidence in the first. The expected reward after the  $D_{1st}$  decision increases monotonically with viewing duration (dashed lines). The expected reward is lower when  $D_{1st}$  confidence is low (compare black and green dashed lines). With a cost on time (dotted black line), the total reward (solid lines) has a maximum corresponding to the optimal decision time, which is longer following high-confidence  $D_{1st}$  decisions. Although this schematic provides an intuition for our results, we used dynamic programming to derive the optimal solution to maximize reward rate.

(B) Optimal time-dependent bounds from dynamic programming show that the bound height for  $D_{2nd}$  increases with  $D_{1st}$  confidence.

(C) Model simulation of accuracy and reaction time for second decisions using the optimal bounds in (B). For comparison to Figure 3, the three levels are comparable to a  $D_2^*$  decision (black: full confidence of 1.0), correct  $D_{1st}$  (blue: high confidence of 0.8), and an error on  $D_{1st}$  (red: low confidence of 0.6).

decision to alter their strategy on the second. We found clear evidence that subjects adjusted their stopping criterion to allow for more evidence acquisition when they began the second decision with high confidence that their first decision was correct (and hence a greater chance of being rewarded for answering the second decision correctly). The model comparison clearly favored this mechanism over its main alternative, which would posit a boost in signal to noise via concentration of attention and/or noise decorrelation [31–33]. We cannot rule out the possibility that a change in attention occurs, but the pattern of changes in RT and accuracy are explained by a change in the bound height, and the quality of the fits leaves little room for further improvement.

Confidence in the first decision is associated with other factors controlled by the experimenter (e.g., motion strength) or associated with the decision process (e.g., RT and accuracy). These factors are therefore associated with the change in decision bound on the second decision. We reason, however, that they are mediated by the prediction that the first decision was successful, as this establishes the upper bound for joint success in the multi-stage decision. The model comparison lends empirical support for this interpretation, assigning our derived confidence estimates better leverage than motion strength, but that is almost beside the point. Had we found that coherence and our confidence estimates were equivalent, the effect of coherence would be mediated by a process that effectively predicts the probability that D<sub>1st</sub> is correct-that is, infers confidence. The neural mechanism through which confidence affects the bounds of a subsequent decision is not presently known, but the result highlights the importance of a process that would allow an inferential operation to control another process (e.g., termination criterion).

The particular strategy adopted by our subjects was rational in the sense that it balances success rate against time costs. As we show in Figure 7A, it is wise to integrate for longer on a second decision if one enters that decision with higher confidence that the first decision was correct. This is because the expected final reward, given a correct second choice, increases with the confidence of the first decision, making the extra time invested worthwhile. Conversely, if one has low confidence or even believes that an error was made on the first decision, there is little point spending time on the second decision. We support this point with the modeling exercise in Figures 7B and 7C, but we do not claim that our subjects behaved optimally or that the exercise captures optimality itself. It seems likely that the time costs include opportunity costs or effort of attending the stimulus as well as overall success rate. Nevertheless, we show that increasing the bound with confidence can arise from a normative model (Figures 7B and 7C), suggesting that it is at least a rational strategy.

We focused on the change in the second of two decisions because we were interested in the possibility that confidence would furnish the evidence, as it were, to adjust the controlling parameters of the second decision. We observed little change in the strategy that our subjects applied on the first decision, whether they thought it was the first of a sequence or the only decision they would make (Figure 2). We do not believe that this will hold in general. Clearly, decision makers adopt a different trade-off between speed and accuracy in different contexts. What we have established is that they can do this in a flexible manner that changes over the time course of one second or less.

In the brain, bounds have their signature in a stereotyped level of neural activity at a short latency before the reaction time [15, 24]. This stereotyped level does not depend on RT or the speed-accuracy regime [34, 35]. The brain instantiates a change in bound height by controlling the starting point of the accumulation and adding a time-dependent signal to the accumulated evidence [35, 36]. This is possible because the decision is rendered via a race between two processes, one that accumulates evidence, say, for up and against down, and another that accumulates evidence for down and against up [37, 38]. These races can adjust the effective bound height by adding the same time-dependent quantity to both accumulators, termed "urgency" [36]. Upon this background, we speculate that the neural mechanism underlying our main effect links confidence in D<sub>1st</sub> to a change in urgency. Both the readout of confidence and the construction of the urgency signals seem to necessitate structures beyond those that represent the accumulation of evidence. The striatum is likely to a play a role in either or both of these processes [39–41].

The present findings expand our appreciation for the role of confidence in a decision. Confidence is naturally portrayed as a metacognitive assessment—an evaluation of the decision process itself—leading to a belief or rating or a prediction of reward. As such, confidence can be expressed as a choice, for example to postpone action on a decision [42] and to obtain more data or a small but certain reward [11, 43]. The present finding demonstrates that confidence can act as a bridge, linking the outcome of one decision to the strategy applied on a subsequent decision (see also [6]). The process is in some ways like a decision, only instead of deliberating toward a commitment to a proposition among alternatives, it is toward the adoption of a policy—here the relative value of speed versus accuracy.

#### **EXPERIMENTAL PROCEDURES**

Four naive subjects (three female and one male) between the ages of 22 and 25 participated in the study. The Cambridge Psychology Research Ethics Committee approved the experimental protocol, and subjects gave informed consent. One of the subjects was excluded from the analyses based on poor task performance (see below). All subjects had normal or corrected-to-normal visual acuity and had no previous experience with random dot motion displays. Prior to participation, they were informed that there was a fixed payment per session. Subjects completed 10–15 sessions. The duration of test sessions (excluding breaks) was  $62.0 \pm 1.0$ ,  $64.6 \pm 6.3$ , and  $58.7 \pm 0.9$  min (mean  $\pm$  SE) for subjects S1, S2, and S3, respectively.

#### **Apparatus**

Subjects were seated in a dimly lit room in front of a 17" Sony Multiscan G200 FD Trinitron CRT monitor (1024 × 768 resolution, 75 Hz refresh). Psychophysics Toolbox [44] and Eyelink Toolbox for MATLAB [45] were used to display images and record eye movements using an EyeLink 1000 (SR Research) in monocular mode at a sampling rate of 1000 Hz. A headrest and chinrest ensured a viewing distance of 42 cm.

#### Stimulus

Subjects discriminated the direction of motion of dynamic random-dot motion stimuli [46] presented within a circular aperture with a diameter subtending 4° of visual angle. The dots were displayed for one frame (13.3 ms), and then three frames later a subset of these dots were displaced in the direction of motion while the rest of the dots were displaced randomly. Thus, the positions of the dots in frame four, say, could be correlated only with dots in frames one and/or seven but not with dots in frames two, three, five, and six. When displacement made a dot move off the boundary, it was replaced randomly on the opposite boundary in such a way that the coverage of the aperture had on average uniform density. The dot density was 17.9 dots/deg<sup>2</sup>/s, and displacements were consistent with a motion speed of 7.1 deg/s. The difficulty of the task was manipulated through the coherence of the stimulus, defined as the probability that each dot would be displaced as opposed to randomly replaced.

#### Procedure

The majority of trials were *double-decision* trials in which subjects made two discrimination decisions: a left-right decision  $(D_{1st})$ , indicated by a leftward

or rightward saccade, followed by an up-down decision  $(D_{2nd})$ , at the new fixation location to reach one of four final-choice targets (Figure 1A). Critically, for success both decisions needed to be correct. We used a point system to encourage subjects to get both decisions correct. They received no points if either decision was incorrect. We paid subjects for the session, but not based on points, as in general our subjects are self-motivated to accrue points.

The spatial features of the task are not essential. We chose different directions of motion for the two decisions to avoid a tendency to repeat or alternate directions, and we found in pilot experiments that some subjects found the task more natural when they navigated around the screen with linked decisions. In particular, it made the sequence more apparent as a unit than repeating a stimulus in the same location.

At the start of a double-decision trial, a fixation point (blue circular disc, diameter 0.42°) appeared centrally with two choice targets (identical to the fixation point) left and right of the central point (6° eccentricity). In addition, finalchoice targets were present at the four possible target locations (white squares with side length 0.42°) above and below the lateral choice targets. After a random delay, sampled from a truncated exponential distribution (range 0.3-1.0 s: mean 0.57 s), the first motion stimulus appeared at the fixation position. Subjects judged the direction of the motion (left versus right) and made an eye movement to the corresponding lateral choice target when ready. Critically, when the movement was initiated-that is, the eye was more than 2.8° from the central point-the random-dot stimulus was extinguished. After fixation had been established at the lateral choice target (defined as within  $2.2^\circ$  from the target center) and a further delay (same distribution as that of the delay before the first decision, which ensured full integration of the first stimulus [17]), the second motion stimulus was presented at the chosen lateral choice target and the subject made a second decision (up versus down) indicated by an eye movement to a final-choice target above or below the stimulus. Again, on saccade initiation the stimulus was extinguished.

On reaching the final-choice target, the chosen target filled. After 0.5 s delay, a bar appeared (5° above the chosen target) within an empty horizontal rectangle on the screen. Subjects provided a confidence rating by rotating a knob (Griffin PowerMate) with their hand so as to adjust the length of the bar to show how confident they were that the final-choice target was correct. The bar's length varied as they rotated the knob, and a number between 0 to 100 was displayed above the bar that corresponded linearly to its length. Subjects were asked to indicate their confidence that the final chosen target was correct (i.e., out of four possible choice targets) by adjusting the knob and pressing a key. Text was displayed at the two ends of the rectangle with "no clue" (at 0) and "absolutely sure" (at 100). After the confidence rating, subjects received auditory feedback about whether they had chosen the correct target.

On each trial, the stimulus coherences were selected randomly and independently for each decision from the set 0%,  $\pm 3.2\%$ ,  $\pm 6.4\%$ ,  $\pm 12.8\%$ ,  $\pm 25.6\%$ , and  $\pm 51.2\%$ , where negative coherences correspond to leftward/upward motion and positive coherences to rightward/downward motion. On the 0%coherence trials, the direction that would be rewarded was chosen randomly.

Three additional trial types were used (Figure 1, last three rows) in which only a single motion discrimination decision was made and subjects again gave a confidence rating (that they had chosen the correct choice target out of the two options) before they were told whether their decision was correct. On single first decision trials (designated D1\*), two choice targets were placed where the lateral fixation points would have been, indicating that the subject would make only a single left-right decision. On single second decision trials (designated  $D_2^*$ ), only the final-choice targets on the left or right of the screen were displayed, and the initial left-right motion discrimination was not required. Subjects simply made a saccade to the left or right fixation point before making the single second decision. Finally, we included single-decision catch trials (designated D1st-catch), in which subjects thought they would make two decisions (the display was identical to double-decision trials) but were instead presented with a D1\* trial: a confidence rating was asked after the first decision, and there was no second decision. These trials allowed us to compare confidence on single-decision trials with confidence on the first decision on a double-decision trial.

A block of trials consisted of all combinations of double-decision coherence pairs (11 first-stimulus coherences  $\times$  11 second-stimulus coherences) and each coherence for the other three trial types (11 coherences for each, making

33 trials), making 154 trials in total. Subjects completed nine sessions (on separate days) and performed four blocks in each session. Stimuli in the first block of a session were all unique. Stimuli in the second block were mirrored versions (horizontally or vertically as appropriate) of the stimuli in the first block. The third and fourth blocks were identical to the first two blocks. The order in which trials were presented was randomized in all blocks. To motivate subjects after each block, their percentage performance over the last block was displayed.

All subjects received extensive training over a number of days on the motion task, in three phases: (1)  $D_1^*$  trials with computer-controlled variable-duration viewing and no confidence ratings (864 trials completed in a single session), (2)  $D_1^*$  and  $D_2^*$  trials without confidence ratings (864 trials per session until choice and reaction times appeared stable; three sessions for subjects S1 and S2, and five sessions for S3), and (3) double-decision trials (99 trials without confidence ratings followed by 154 with confidence ratings). All training was completed before the nine experimental sessions were run.

We required subjects to have sufficient perceptual skills and motivation to perform the task. One subject became unmotivated as the sessions proceeded (failing to turn up for sessions), and an analysis of his first three sessions showed that he also had very strong response bias (e.g., 90% upward responses at 0% coherence trials), so he did not continue in the experiment and we excluded his data from analysis.

#### Analysis

For each trial, we recorded the choice and reaction time (RT; time to movement initiation from start of motion stimulus) for each decision as well as the final confidence rating (which we divided by 100 so as to be on a 0-1 scale).

We refer to the two decisions of the double decision as D<sub>1st</sub> and D<sub>2nd</sub> to distinguish them from single first and single second decisions (D<sub>1</sub><sup>\*</sup> and D<sub>2</sub><sup>\*</sup>, respectively) and the decision made on a single-decision catch trial D<sub>1st-catch</sub>.

To examine whether accuracy on  $D_{2nd}$  is affected by the coherence on  $D_{1st}$ , we performed logistic regression on  $D_{2nd}$  choices as a function of coherence on  $D_{2nd}$ ,

$$P_{right}(D_{2nd}) = [1 + \exp(-(k_1 + k_2 \cosh_2 + k_3 \cosh_2 |\cosh_1|))]^{-1},$$

with the null hypothesis that  $k_3 = 0$ .

To examine whether accuracy on  $D_{2nd}$  is affected by accuracy on  $D_{1st}$ , we used a chi-square test with Yates correction. To compare reaction times between conditions, we performed ANOVAs on individual subjects with reaction time (individual trials) as a function of condition and absolute coherence (as a categorical variable).

To examine whether the confidence rating on a first decision depended on whether subjects expected to make second decision, we performed ANOVAs of confidence rating with factors of trial type ( $D_1^*$  versus  $D_{1st-catch}$ ), motion strength (six levels), and accuracy of  $D_{1st}$  (correct versus error). To examine whether the individual confidence rating on a first decision depended on the trial's coherence, RT, and accuracy, we performed an ANOVA on the confidence ratings with categorical factors of unsigned coherence and accuracy, and linear factor RT.

By design, our task does not introduce an interruption between the first and second decision (D<sub>1st</sub> and D<sub>2nd</sub>). Thus, we did not solicit confidence reports for D<sub>1st</sub> and instead estimated these ratings using the D<sub>1</sub>\* and D<sub>1st-catch</sub> trials. For each D<sub>1st</sub> decision, we selected a fixed number (k) of D<sub>1</sub>\* and D<sub>1st-catch</sub> trials for the same coherence and accuracy (error versus correct) that were closest to the D<sub>1st</sub> RT and averaged the corresponding confidence ratings (k-nearest neighbor interpolation). We chose k = 30 for correct trials and k = 15 for error trials because errors were less frequent than correct responses. This allowed us to generate estimates of confidence for all D<sub>1st</sub> trials to examine how the confidence affected D<sub>2nd</sub> on the same trial.

Naturally, confidence can vary even for trials with the same motion strength, choice, and reaction time. We therefore examined the predictive power of our approach with leave-one-out cross-validation on  $D_1^*$  and  $D_{1st-catch}$  trials. For each  $D_1^*$  and  $D_{1st-catch}$  trial, we used the data with the same coherence and accuracy (error versus correct) to predict the confidence on that trial (leaving that trial out of the dataset for the k-nearest neighbor). We repeated this for all of the trials so that we have a leave-one-out prediction of confidence for each trial as well as the actual confidence rating on that trial. We report the fraction of variance explained from these trials.

#### Model

We used a variant of the drift-diffusion model [23, 47] to explain the proportion of choices and reaction times. The model posits that evidence accumulates from zero until it reaches an upper or lower bound (±B), which determines the initial choice and decision time. The increments of evidence are idealized as normally distributed random variables with unit variance per second and mean  $\kappa$ (C+C<sub>0</sub>), where C is signed motion strength (specified as the proportion of dots moving in net motion direction, positive = rightward/downward and negative = leftward/upward motion);  $\kappa$ , B and C<sub>0</sub> are free parameters. The parameters B and  $\kappa$  explain the trade-off between speed and accuracy of the initial choices; C<sub>0</sub> is a coherence offset, which explains bias (if any) for one of the choices (starting point bias versus drift bias; see Supplemental Experimental Procedures). The RT incorporates additional latencies, termed the non-decision time (t<sub>nd</sub>), from stimulus onset to the beginning of the bounded accumulation process and from the termination of the process to the beginning of the motor response.

To fit the accuracy and reaction time of the  $D_{2nd}$  and  $D_2^*$  choices, we minimized the negative log likelihood, using Bernoulli distributions for the choices and Gaussian distributions for the RTs. For analytic simplicity (see below), we used a flat bound (i.e., stationary rather than collapsing), which does not capture the shape of the RT distributions and the mean RT on error trials [4]. Therefore, for the RT component of the response likelihood for each trial, we used only the model's predicted mean RT and used the associated standard deviation from the data for correct trials for the same coherence. Absent bias, correct choices would be rightward choices for positive coherences, leftward choices for negative coherences, and all choices for 0% coherence. In general, these are the direction of the more numerous choices at each coherence. including 0. In practice, we identified the correct trials, when fitting RT, by finding the point of subjective equality in a simple logistic fit to choice and selecting rightward choice trials when  $p_{\text{right}} > 0.5$  and leftward choice trials for pright < 0.5. We did not use the logistic fit to estimate C<sub>0</sub>. We optimized using the MATLAB function fmincon using analytic gradients.

We used this parsimonious version of the bounded evidence accumulation model, which employs stationary (i.e., flat) bounds. We recognize that the normative prescription for terminating bounds in our experiment incorporates non-stationary (collapsing) bounds [4]. We did not incorporate this degree of complexity in our main model fits in order to reduce complexity and to focus on a single bound parameter (i.e., bound height). This strategy also allowed derivation of model gradients and Hessians allowing efficient and reliable fitting of our models. This practice provides stable estimates of the key parameters (B,  $\kappa$ ,  $t_{nd}$ ).

We examined the stability of the model parameters over the nine sessions and discovered significant variation in the bound parameter (B) and more subtle variation in the other parameters. Furthermore, the values of B covaried for D<sub>1st</sub> and D<sub>2nd</sub> (Figure S1). The likelihoods associated with reaction times were calculated using the sample standard deviation separately for each session, subject, and coherence.

To examine how confidence in D<sub>1st</sub> affected the parameters of the driftdiffusion process accounting for D<sub>2nd</sub> and D<sub>2</sub>\* (for which confidence was set to 1), we compared six models (Table S2). We allowed some parameters (B and  $\kappa$ ) to vary for each session, whereas other parameters such as C<sub>0</sub> and  $t_{nd}$  were shared across all sessions. Table S2 lists the parameters that vary. Here we provide a more intuitive guide. Across the six models, the bound (B) and the signal-to-noise term ( $\kappa$ ) can vary, and they can do so in three ways: fixed, by session, and linearly as a function of D<sub>1st</sub> confidence. Models 1 and 2 are the simplest: either B or κ varies with session, but neither depends on D<sub>1st</sub> confidence. Models 3 and 4 parallel models 1 and 2, but with an additional variation of B or  $\kappa$  linearly with D<sub>1st</sub> confidence. Finally, models 5 and 6 allow variation in one parameter, by session, and in the other parameter linearly with D<sub>1st</sub> confidence. We used the Bayesian information criterion (BIC) to compare the models by controlling for their differing number of free parameters (Table S2). To compute an overall BIC across subjects, we summed the degrees of freedom, number of trials, and log likelihoods for each model.

We also fit a model in which we allowed the bound on  $D_{2nd}$  to vary with the session and also to have an additional offset for each of seven quantiles of  $D_{1st}$  confidence. This was used not for model comparison but for display purposes, to confirm that our linearity assumption in model 3 (the preferred model) was

reasonable. We were able to use an analytic Hessian to obtain confidence limits on parameters (displayed in Figure 5 and Table S1).

#### **Model Recovery**

To validate our selection of model 3 as the preferred model, we examined whether this classification could have arisen if the data had been generated by each of the other five non-preferred models. For each subject, we generated 100 synthetic datasets for each of the five non-preferred models using each subject's best-fit parameters for that model. We generated a synthetic dataset for each model, as follows. For each trial in the experiment with a second decision, we used the subject's estimated D<sub>1st</sub> confidence and the motion coherence of the stimulus for the second decision, together with the fitted parameters of the model, to generate a synthetic choice (up/down) and RT. The variability in the 100 synthetic datasets for each subject and model arises from the stochastic nature of the drift-diffusion process. We then fit each of the six models to these synthetic datasets. This validation shows that very few of these synthetic datasets had a BIC that was lower than the preferred model type (model 3): 0.8%, 4.8%, and 1.8% for the three subjects. This suggests that had the data come from one of the other models, it is unlikely that we would have misclassified them as model 3.

#### SUPPLEMENTAL INFORMATION

Supplemental Information includes five figures, two tables, and Supplemental Experimental Procedures and can be found with this article online at http://dx. doi.org/10.1016/j.cub.2016.10.021.

#### **AUTHOR CONTRIBUTIONS**

R.v.d.B., A.Z., R.K., M.N.S., and D.M.W.: conception and design, analysis and interpretation of data, drafting or revising the article; R.v.d.B.: acquisition of data.

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## **Supplemental Information**

## Confidence Is the Bridge

## between Multi-stage Decisions

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Figure S1. Drift diffusion parameter variation over sessions; Related to Figure 2. The drift-diffusion model was fitted separately to the  $D_{1st}$  and  $D_{2nd}$  decisions of double-decision trials for each session. Columns are participants and error bars show 95% confidence intervals for parameters estimates.



Figure S2. Correlation between reactions times on  $D_{1st}$  and  $D_{2nd}$ ; Related to Figure 3. Reaction times were z-scored within each coherence and session. Also shown are 2-sd principal component ellipses. The correlations are significant for S1 (p<0.001) and S3 (p<0.001) but not for S2 (p=0.68).



Figure S3 Within vs. across trial effects of coherence on previous decision vs. reaction time on current decision; Related to Figure 3. The black data reproduces Figure 3B (top), showing RT on  $D_{2nd}$  and a function of coherence on  $D_{1st}$ . The blue data show across-trial effects, showing reaction time on first decision of a trial against the coherence of the last decision on the previous trial. Coherence of the preceding trial decision does not affect the RT of the current decision (p>0.19 for all three subjects). Error bars show s.e.m.



Figure S4. Estimates of the change in the bound on the second decision as a function of the estimated confidence about the first decision; related to Figure 5. The black data and lines are as for Figure 5 but with the model 3 refit for four quantiles. The blue points are derived from the  $D_{2nd}$  and  $D_{2*}$  0% coherence trials. On these trials RT is determined by bound height and non decision time (E[RT]=B<sup>2</sup> +t<sub>nd</sub>). For each session we calculated mean RT for each quartile of estimated  $D_{1st}$  confidence (quartiles splits across all trials) for these trials and derived the bound height (using  $t_{nd}$  =0.280 s, approximately the mean across subjects; Table S2). We plot the across-session mean of the estimated change in second decision bound. Error bars (s.e.) were derived by bootstrapping (1,000 samples).



**Figure S5. RT on first decisions against second decision; related to Figure 3.** Data shows mean (±s.e.) for 0% coherence trials for each subject. The dotted line shows RT equality.

Subject	Trials	Bound (B)	Signal-To- Noise (κ)	Non-decision time (t <sub>nd</sub> , s)
S1	D <sub>1</sub> .	1.25 ± 0.03	$9.2 \pm 0.7$	$0.242 \pm 0.026$
	D <sub>1st</sub>	1.25 ± 0.01	9.1 ± 0.2	$0.243 \pm 0.008$
S2	D <sub>1</sub> .	1.14 ± 0.02	11.9 ± 0.9	0.250 ± 0.018
	D1st	1.07 ± 0.01	15.1 ± 0.3	0.281 ± 0.004
S3	D <sub>1</sub> .	1.02 ± 0.04	11.7 ± 0.9	0.193 ± 0.016
	D <sub>1st</sub>	0.95 ± 0.01	$12.4 \pm 0.3$	0.222 ± 0.005

**Table S1. Fitted parameters of the drift-diffusion model; related to Figure 2.** The model was fit to single first decisions ( $D_{1*}$ ) and to the first of two decisions ( $D_{1st}$  including  $D_{1st-catch}$  trials). Parameter means are shown ±s.e. For simplicity bias ( $C_0$ ) was set to zero.

	Bound (B)	Signal-to-noise (κ)	DOF	<b>ΔBIC from best model</b>			
Model				S1	S2	<b>S</b> 3	
1	Bsession	κ	12	190.83	<mark>125.</mark> 2	<mark>5.4</mark>	
2	В	Ksession	12	409.7	164.5	11.9	
3	B <sub>session</sub> + α·conf	к	13	0.0	0.0	0.0	
4	В	$\kappa_{\text{session}} + \alpha \cdot \text{conf}$	13	364.7	135.9	14.2	
5	Bsession	$\kappa + \alpha \cdot \text{conf}$	13	140.9	92.1	8.3	
6	$B + \alpha \cdot conf$	Ksession	13	227	46.9	4.8	
	MLE pa						
		α	1	0.355 ± 0.026	0.379 ± 0.034	0.103 ± 0.028	
		$\mathbb{E}[B_{session}]$	9	0.791 ± 0.026	0.678 ± 0.034	0.713 ± 0.025	
		к	1	8.04 ± 0.23	15.44 ± 0.33	11.38 ± 0.33	
		Co	1	0.020 ± 0.002	0.018 ± 0.001	0.026 ± 0.002	
		t <sub>nd</sub> (s)	1	0.283 ± 0.009	0.297 ± 0.003	0.269 ± 0.005	

Table S2. Model comparison for fits to the second decision of a double-decision trial ( $D_{2nd}$ ); related to Figures 5 & 6. The models vary in whether and how they allow the bound (B) and  $\kappa$  for the second decision to vary (see Methods for details). Some models have different levels of B or  $\kappa$  for each of the 9 sessions (subscripts) and others allow these parameter to vary linearly with the predicted confidence from  $D_{1st}$  (conf). The degrees of freedom (DOF) of the models and their difference in BIC from the best model are shown. The maximum likelihood estimates of the parameters for the best model (3) are also shown with s.e.

## **Supplemental Experimental Procedures**

## Starting point vs. drift bias

We accounted for possible biases by including a bias on drift in the model (the C<sub>0</sub> parameter). However, there is some evidence suggesting that the locus of bias is instead in bound asymmetries (Refs S1, S2), (but see S3) which is equivalent to a starting point bias in our model. We compared these alternatives by fitting all first choices that were part of a double decision (D<sub>1st</sub>), with either a C<sub>0</sub> (coherence bias) term or y<sub>0</sub> (offset bias) term. For all three subjects, the model with C<sub>0</sub> bias was strongly preferred over the model with y<sub>0</sub> ( $\Delta$ BIC is 21.8, 21.1, and 183.0 for subjects 1-3, respectively; same as deviance as d.f. are same), which justifies the assumption in our main model. Note that S3 is the most informative subject as bias is small for S1 and S2. We chose to fit with only C<sub>0</sub> to reduce the number of parameters.

## Normative model

We used dynamic programming to determine the optimal decision policy for  $D_{2nd}$  as a function of the confidence in  $D_{1st}$ . By optimal we refer to the decision policy that maximizes reward rate (i.e., maximizing the number of points obtained per unit of time). The goal of this exercise is not to establish that our participants were maximizing reward rate, but to justify their strategy as sensible given a cost of time per trial.

The random dot motion discrimination task can be considered an instance of a class of problems referred to as partially-observable Markov Decision Process (POMDP). The partial observability derives from the fact that (motion) observations provide only ambiguous evidence about the true underlying task state. Following the usual approach, we solve the POMDP casting it as a fully observable Markov decision process (MDP) over the belief states of the agent. We then use dynamic programming to find the policy that maximizes average reward.

Formally, an MDP can be described as a tuple given by (S4):

- (i) a non-empty state space S,
- (ii) an initial state  $S_0$ ,
- (iii) a goal state  $S_G$ ,
- (iv) a set of actions A(s) applicable in state s,
- (v) positive and negative rewards r(a,s) for doing action a in state s,

(vi) transition probabilities  $P_a(s'|s)$  indexing the probability of transitioning to state s' after doing action a in state s.

For simplicity, we derive the optimal policy for the second decision assuming that  $D_{2nd}$  is informed by the confidence in  $D_{1st}$ , without explicitly modeling the decision process for the first decision. Next, we describe how to cast the motion discrimination task as an MDP.

The state *s* was defined as a tuple  $\langle x, t, c_1 \rangle$ , where *x* is the amount of accumulated motion evidence for one direction and against the other (the decision variable). It is positive when the evidence supports one motion direction (say upwards), and negative when it supports the opposite direction. *t* is the elapsed decision time since the onset of motion for the second decision.  $c_1$  is the probability that the first decision was correct. We assume that  $c_1$  takes a value from the set  $C_1 = [0.6, 0.8, 1]$ , which corresponds respectively to the average confidence for incorrect, correct and bypassed first decisions. This is a simplification because correct and incorrect decisions are associated with a distribution of confidence values. However, we note that our conclusions do not depend on this simplification as long as the average confidence about  $D_{1st}$  is higher for correct than for error trials, which is indeed what was observed in our data (**Figure 2** and **4**). Further, we assume that the probability of eliciting each of the values in  $C_1$  was given by  $p_{C_1} = [0.3, 0.5, 0.2]$ . Again, our conclusions are robust to changes in these values.

The decision process starts with x = 0 (i.e., no accumulated evidence favoring either of the alternatives), t = 0 and  $c_1 \in C_1$ . The distribution over  $c_1$  was implemented with an initial state  $s_0$  that has transition probabilities  $p_{c_1}$  to the three states  $\langle x = 0, t = 0, c_1 \in C_1 \rangle$ .

Three actions were applicable in each state. The decision maker could either terminate the trial by selecting one of the targets (two possible actions), or maintain fixation (the third 'action') to gather additional motion evidence. Defining a deterministic policy entails specifying which action to select in each state.

Transition probabilities  $P_a(s'|s)$  indicate the probability of transitioning to s' after performing action a in state s. State transitions are not deterministic because they depend on the momentary motion evidence, which is stochastic even if the motion coherence were known. As in the bounded accumulation model, we assume that the momentary motion evidence follows a normal distribution with a mean that depends linearly on motion coherence, such that over one second of stimulus viewing the evidence accumulated is, on average,  $\kappa$ .coh and the variance of the momentary is equal to 1. For the analyses shown in **Figure 7** we set  $\kappa$ =10.

For a given motion coherence, the probability of transitioning from state  $s = \langle x, t, c_1 \rangle$  to state  $s' = \langle x', t + \delta t, c_1 \rangle$  is given by:

$$p_{fix}(s'|s, coh) = p_{fix}(\langle x', t + \delta t, c_1 \rangle | \langle x, t, c_1 \rangle, coh) = \mathcal{N}(x' - x|\kappa.coh.dt, \sqrt{\delta t})$$
(1)

where  $N(\cdot|\mu,\sigma)$  is the normal p.d.f. with mean  $\mu$  and standard deviation  $\sigma$ .

Because the decision-maker does not know the motion coherence with certainty, obtaining the transition probability  $p_{fix}(s' | s)$  requires marginalizing over coherences:

$$p_{fix}(s'|s) = \sum_{coh} p_{fix}(s'|s, coh)p(coh|s)$$
<sup>(2)</sup>

This marginalization requires knowledge of p(coh|s), the probability that the underlying motion coherence is *coh* given that state *s* was reached (S5):

$$p(coh|\langle x, t, c_1 \rangle) = \frac{1}{\mathcal{Z}} \mathcal{N}(x|\kappa.coh.t, \sqrt{t}) p(coh)$$
(3)

where the coherences coh are the discrete set of signed coherences used in the experiment, and Z is the normalization constant which assures that the sum of  $p(coh|\langle x, t, c_1 \rangle)$  over all motion coherences adds to one. As in the experiment, p(coh) is distributed uniformly over the discrete set of motion coherences.

We assume that the optimal decision-maker maximizes the reward per unit of time. To find the optimal policy, we used value iteration to solve Bellman's equation. For problems that have a recurrent state—which includes decision-making tasks that are organized as a sequence of trials—the problem of maximizing average reward can be recast as a stochastic shortest path problem (or Goal MDP) through the inclusion of an artificial cost-free and absorbing goal state (S4). The intuition behind this simplification is that if we consider a sequence of generated

trajectories in state space, we can divide it into a series of visits to the recurrent state, which is equivalent to the corresponding Goal MDP where the recurrent state is the goal state (S4).

For our task, the Bellman equation takes the form:

$$V(s) = max \begin{cases} Q(s, up) = p_{c|up}(s)R_c + p_{nc|up}(s)R_{nc} - (t_{other} + t_{nd})\rho \\ Q(s, down) = p_{c|down}(s)R_c + p_{nc|down}(s)R_{nc} - (t_{other} + t_{nd})\rho \\ Q(s, fix) = E[V(s')|s] - \rho\delta t \end{cases}$$
(4)

where s, s' are states and  $t_{nd}$  is the average non-decision time. The time  $t_{other}$  is the average time gap between a response to  $D_{2nd}$  and the onset of motion for the following  $D_{2nd}$ ; it includes the time spent on fixations, reporting confidence, responding to the first decision, receiving feedback, etc. For the analyses of **Figure 7**, we chose  $t_{nd} = 0.3 s$  and  $t_{other} = 5 s$ .  $R_c$  is the reward obtained after a correct response, and  $R_{nc}$  is the reward obtained after an incorrect response. As in the experiment,  $R_c = 1$  and  $R_{nc} = 0$ . Reward rate  $\rho$  is the reward obtained per unit of time.

 $p_{c|a}(s)$  is the probability of being correct after doing action a in state s. For the double decision trials, being correct means solving both decisions correctly. Therefore,  $p_{c|a}(s)$  is the probability that the first decision was correct ( $c_1$ ), multiplied by the probability that  $D_{2nd}$  was solved correctly. The latter can be obtained summing over the coherences for which the action a is the appropriate action. For instance, the action 'up' is the appropriate action for all positive and for half of the 0% coherence trials. Therefore

$$p_{c|up}(s) = p_{c|up}(x, t, c_1) = c_1 \times \left(\sum_{coh>0} p(coh|x, t) + \frac{1}{2}p(coh=0|x, t)\right)$$
(5)

Our depiction of Bellman's equation implicitly assumes that choosing a terminal action leads to an absorbing cost-free state. The expectation in Q(s, fix) is an expectation over all future states s' that result from being in s and gathering evidence for an additional time step  $\delta t$ :

$$E[V(s')|s] = \int_{s' \in S} ds' p_{fix}(s'|s) V(s')$$
(6)

Because time flows in a single direction, Bellman's equation can be solved by backwards induction in a single pass. However, since we want to maximize the reward rate, which depends

on the policy itself, we perform multiple backwards passes to find the value of  $\rho$  through root-finding, bracketing  $\rho$  within a sequence of diminishing intervals until the value of the initial state  $V(S_0)$  is approximately zero (S4, S6).

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## **Supplemental Information**

## Confidence Is the Bridge

## between Multi-stage Decisions

Ronald van den Berg, Ariel Zylberberg, Roozbeh Kiani, Michael N. Shadlen, and Daniel M. Wolpert



Figure S1. Drift diffusion parameter variation over sessions; Related to Figure 2. The drift-diffusion model was fitted separately to the  $D_{1st}$  and  $D_{2nd}$  decisions of double-decision trials for each session. Columns are participants and error bars show 95% confidence intervals for parameters estimates.



Figure S2. Correlation between reactions times on  $D_{1st}$  and  $D_{2nd}$ ; Related to Figure 3. Reaction times were z-scored within each coherence and session. Also shown are 2-sd principal component ellipses. The correlations are significant for S1 (p<0.001) and S3 (p<0.001) but not for S2 (p=0.68).



Figure S3 Within vs. across trial effects of coherence on previous decision vs. reaction time on current decision; Related to Figure 3. The black data reproduces Figure 3B (top), showing RT on  $D_{2nd}$  and a function of coherence on  $D_{1st}$ . The blue data show across-trial effects, showing reaction time on first decision of a trial against the coherence of the last decision on the previous trial. Coherence of the preceding trial decision does not affect the RT of the current decision (p>0.19 for all three subjects). Error bars show s.e.m.



Figure S4. Estimates of the change in the bound on the second decision as a function of the estimated confidence about the first decision; related to Figure 5. The black data and lines are as for Figure 5 but with the model 3 refit for four quantiles. The blue points are derived from the  $D_{2nd}$  and  $D_{2*}$  0% coherence trials. On these trials RT is determined by bound height and non decision time (E[RT]=B<sup>2</sup> +t<sub>nd</sub>). For each session we calculated mean RT for each quartile of estimated  $D_{1st}$  confidence (quartiles splits across all trials) for these trials and derived the bound height (using  $t_{nd}$  =0.280 s, approximately the mean across subjects; Table S2). We plot the across-session mean of the estimated change in second decision bound. Error bars (s.e.) were derived by bootstrapping (1,000 samples).



**Figure S5. RT on first decisions against second decision; related to Figure 3.** Data shows mean (±s.e.) for 0% coherence trials for each subject. The dotted line shows RT equality.

Subject	Trials	Bound (B)	Signal-To- Noise (κ)	Non-decision time (t <sub>nd</sub> , s)
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## **Supplemental Experimental Procedures**

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We accounted for possible biases by including a bias on drift in the model (the C<sub>0</sub> parameter). However, there is some evidence suggesting that the locus of bias is instead in bound asymmetries (Refs S1, S2), (but see S3) which is equivalent to a starting point bias in our model. We compared these alternatives by fitting all first choices that were part of a double decision (D<sub>1st</sub>), with either a C<sub>0</sub> (coherence bias) term or y<sub>0</sub> (offset bias) term. For all three subjects, the model with C<sub>0</sub> bias was strongly preferred over the model with y<sub>0</sub> ( $\Delta$ BIC is 21.8, 21.1, and 183.0 for subjects 1-3, respectively; same as deviance as d.f. are same), which justifies the assumption in our main model. Note that S3 is the most informative subject as bias is small for S1 and S2. We chose to fit with only C<sub>0</sub> to reduce the number of parameters.

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The decision process starts with x = 0 (i.e., no accumulated evidence favoring either of the alternatives), t = 0 and  $c_1 \in C_1$ . The distribution over  $c_1$  was implemented with an initial state  $s_0$  that has transition probabilities  $p_{c_1}$  to the three states  $\langle x = 0, t = 0, c_1 \in C_1 \rangle$ .

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where  $N(\cdot|\mu,\sigma)$  is the normal p.d.f. with mean  $\mu$  and standard deviation  $\sigma$ .

Because the decision-maker does not know the motion coherence with certainty, obtaining the transition probability  $p_{fix}(s' | s)$  requires marginalizing over coherences:

$$p_{fix}(s'|s) = \sum_{coh} p_{fix}(s'|s, coh)p(coh|s)$$
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This marginalization requires knowledge of p(coh|s), the probability that the underlying motion coherence is *coh* given that state *s* was reached (S5):

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For our task, the Bellman equation takes the form:

$$V(s) = max \begin{cases} Q(s, up) = p_{c|up}(s)R_c + p_{nc|up}(s)R_{nc} - (t_{other} + t_{nd})\rho \\ Q(s, down) = p_{c|down}(s)R_c + p_{nc|down}(s)R_{nc} - (t_{other} + t_{nd})\rho \\ Q(s, fix) = E[V(s')|s] - \rho\delta t \end{cases}$$
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where s, s' are states and  $t_{nd}$  is the average non-decision time. The time  $t_{other}$  is the average time gap between a response to  $D_{2nd}$  and the onset of motion for the following  $D_{2nd}$ ; it includes the time spent on fixations, reporting confidence, responding to the first decision, receiving feedback, etc. For the analyses of **Figure 7**, we chose  $t_{nd} = 0.3 s$  and  $t_{other} = 5 s$ .  $R_c$  is the reward obtained after a correct response, and  $R_{nc}$  is the reward obtained after an incorrect response. As in the experiment,  $R_c = 1$  and  $R_{nc} = 0$ . Reward rate  $\rho$  is the reward obtained per unit of time.

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$$p_{c|up}(s) = p_{c|up}(x, t, c_1) = c_1 \times \left(\sum_{coh>0} p(coh|x, t) + \frac{1}{2}p(coh=0|x, t)\right)$$
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Our depiction of Bellman's equation implicitly assumes that choosing a terminal action leads to an absorbing cost-free state. The expectation in Q(s, fix) is an expectation over all future states s' that result from being in s and gathering evidence for an additional time step  $\delta t$ :

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on the policy itself, we perform multiple backwards passes to find the value of  $\rho$  through root-finding, bracketing  $\rho$  within a sequence of diminishing intervals until the value of the initial state  $V(S_0)$  is approximately zero (S4, S6).

### Supplemental References

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